



WARNING TO THE PUBLIC ON UNREGISTERED INSTITUTIONS IN INTERNATIONAL SUPERVISORS

Madrid, 13 September 2017

The National Securities Market Commission (CNMV) publishes the following list of warnings reported on unregistered entities that were received through international supervisors:

Entity Warned	Supervisor	Remarks
FISHER INVESTMENTS INSTITUTIONAL FUNDS PLC (CLON) HTTP://FISHERFUNDSPLC.CO.UK	FCA – UNITED KINGDOM	
GENWORTH CONSULTANT GROUP WWW.GENWORTHCONSULTANTGRP.COM WWW.GENWORTHCONSULTANT.COM	FCA – UNITED KINGDOM	
POSITIVE LENDING (CLON)	FCA – UNITED KINGDOM	
CATHAY DUPONT WWW.CATHAYDUPONT.COM	CSSF - LUXEMBOURG	
ITO VENTURES WWW.ITOVENTURES.COM	SFSA - SWEDEN	
CMC GAO HUA WWW.CMCALLIANCE.COM	SFSA - SWEDEN	
JOHNSTON AND JAMES CONSULTANCY / J&J CONSULTANCY WWW.JOHNSTONANDJAMESCONSULTANCYFIRM.COM	FCA – UNITED KINGDOM	
NAFTOIL	AMF - FRANCE	IT ALSO WARNED AGAINST DIRECTOR RAPHAEL COMTÉ

For more details on the warnings received from foreign regulators at the European level, please visit the CNMV website to view any existing warnings on [unauthorized entities](#) and [other warnings](#).

In addition, there is a [search engine](#) available at the CNMV website.

To view additional warnings from non-European regulators, click on the [Investor Alerts](#) link at the IOSCO website.

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