

Financial Services Authority



The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

**WELBORN CAPITAL LLC
220 MIDDLE ROAD
HUDSON
12534 NEW YORK
UNITED STATES OF AMERICA**

And / or

**664 3RD AVENUE, 14/F
NEW YORK
10017 NEW YORK
UNITED STATES OF AMERICA**

**TELEPHONE NUMBER: 0012125429176
FAX NUMBER: 0018456984132
FAX NUMBER: 0016466194508
FAX NUMBER: 0012124098803**

**WEBSITES: www.welbornllc.com / www.welborninc.com
EMAIL ADDRESSES: info@welbornllc.com, documents@welbornllc.com,
services@welbornllc.com, and web@welbornllc.com.**

is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

Welborn Capital LLC, is impersonating a genuine broker-dealer firm registered with the U.S. Securities and Exchange Commission (SEC) and the U.S. Financial Industry Regulatory Authority (FINRA), with the same name but different address and telephone numbers - Welborn Capital LLC (CRD Number: 152234; SEC Number: 8-68436). The impersonator has NO connection with, and is not to be confused with, the genuine Welborn Capital LLC. The SEC's EDGAR database contains registration information for the genuine entity.

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised overseas firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms>

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.