

**The following is a list of unlicensed overseas companies, suspected scam websites and phishing scams which have come to the attention of the SFC.**

Most of these unlicensed companies either represent themselves as having operations in Hong Kong by using the address of a mail forwarding facility or settle their transactions through a bank account in Hong Kong, when in fact they do not have any actual operations in Hong Kong. Alternatively, they may operate from overseas and contact potential investors in Hong Kong whilst not having been licensed in Hong Kong. While some of them may be boiler room operations, others may merely have failed to comply with licensing requirements.

Investors should exercise extreme caution when dealing with companies that are not licensed in any jurisdiction.

Suspected scam websites may either be scamsters using their websites to offer too-good-to-be-true investment opportunities (e.g. offering pre-IPO stocks of fabricated companies at huge discounts, or funds with guaranteed multiple returns) which do not actually exist, or fraudulent copycat websites which imitate the websites of reputable financial institutions to lure investors to part with their money and/or disclose personal information which the fraudsters may use to swindle investors.

Similar to fraudulent copycats, phishing is a term used to describe scamsters imitating legitimate financial institutions, notably banks, in emails to entice recipients to disclose their credit card/bank account numbers as well as their respective passwords/ Personal Identification Numbers (PINs).

Recently, it has come to the SFC's attention that boiler room activities, scam websites and phishing have become more active. If you wish to find out more about these scams, please refer to the subsections "[Boiler Room Operations](#)", "[Scam Websites](#)" and "[Fraudulent Copycat Websites and Phishing Scams](#)". You can also read the [investor alert lists of overseas regulators](#) in the "[Boiler Room Operations](#)" subsection.

**Global Commodities Inc.**

Gives an address in the USA:

3511 W. Commercial BLVD STE. 400  
FT Lauderdale, FL 33309. USA

Gives an address in Hong Kong but is not located there:

Asian Region Hub Office  
16/F, Bank of America Tower, 12 Harcourt Road 2 Central, Hong Kong

(Global Commodities Inc. maintains websites with the domain names [www.globaloptions.org](http://www.globaloptions.org) and [www.globalcgp.com](http://www.globalcgp.com). It represents that it has merged with Global Options Group and the name of Global Commodities Inc. is currently used.)

*Added on 25 October 2005*

**Global Options Group**

Gives addresses in the USA:

Head office

55 South Vale #305, Arlington Heights, Illinois 60005, USA  
G.O.G. Market Research Office  
201 South Biscayne Boulevard, Miami, Florida, 33131, USA

Gives an address in Hong Kong but is not located there:

G.O.G. Offshore Marketing Office  
Asian Region Hub Office  
16/F, Bank of America Tower, 12 Harcourt Road 2 Central, Hong Kong

(Global Options Group maintains websites with the domain names [www.globaloptions.org](http://www.globaloptions.org) and [www.globalcgp.com](http://www.globalcgp.com). It represents that it has merged with Global Commodities Inc. and the name of Global Commodities Inc. is currently used.)

(Name used: Jason Carlson)

*Added on 15 December 2004*  
*Last updated on 25 October 2005*

### **Beacon Capital Management**

Gives the following address but there is no such place:

70 Kimberly Road  
Hong Kong  
Name used: Mr Charles, Mr William Tan and Mr Philip Avard

(The company maintains a website with the domain name: [www.beaconcapitalmgt.com](http://www.beaconcapitalmgt.com). There is a street named 70 Kimberley Road in Hong Kong but Beacon Capital Management is not located there.)

*Added on 19 October 2005*  
*Last updated on 25 October 2005*

### **Factor Ten Financial**

Gives the following address but is not located there:

30th Floor, Central Plaza  
18 Harbour Road  
Wanchai  
Hong Kong

(Name used: Dhalia Khan)

(The company maintains a website with the domain name: [www.factor10financial.com](http://www.factor10financial.com).)

*Added on 1 November 2005*

### **Hong Kong and Shanghai Securities Ltd**

Gives an incomplete address of:

First Avenue  
London  
United Kingdom

(The company maintains a website with the domain name: [www.hkssl-uk.com](http://www.hkssl-uk.com). It is not associated with The Hongkong and Shanghai Banking Corporation Ltd or any of its subsidiaries.)

*Added on 1 November 2005*

### **Bernard Simpson Consultants**

Gives addresses of :

Room 1, Floor 1, Straits Trading Building  
2 Lebuhr Pasar Besar  
Kuala Lumpur 50050  
Malaysia

Level 40, Tower 2,  
Petronas Twin Towers  
Kuala Lumpur 50088  
Malaysia

Plaza Sentral 9th Floor  
Jl Jenderal Sudirman No 47  
Jakarta 12930  
Indonesia

Centro Corporativo Internacional  
Avenida 6/8, Calle 26  
Piso 3, San Jose  
Costa Rica

3001 Trade Tower, 159-1 Samsung-Dong  
Gangnam-Gu  
Seoul 135-729  
Korea

POSCO Center West Tower, 11th Floor  
892 Daechi-4-dong  
Kangnam-ku Seoul  
Korea

Names used: Thomas Harte, Richard Good, Alex Lee, Damien White, John Clifford, Roger A Phillips and David Baxter

(The company maintains a website with the domain names: [www.bernardsimpson.net](http://www.bernardsimpson.net) and [www.bscmail.net](http://www.bscmail.net). It also has a bank account in Hungary and appears to target Hong Kong investors.)

*Added on 27 November 2003*

*Last updated on 1 November 2005*

### **Rockwell & Bond S A**

Gives an address of:

Suite 1402, 14/F First e-bank Tower  
8737 Paseo de Roxas, Makati City, 1200  
Philippines

(Names used: James Young, David Watson, Ray Baxter, Jack Osborne, Thomas Miller, Vick Sweeny)

(The company also represents that it operates from Panama. It uses bank accounts in Hong Kong and the Philippines in the name of Rockwell and Bond Limited and Rockwell and Bond Inc. respectively.)

*Added on 28 January 2003*

*Last updated on 16 November 2005*