



# IRISH FINANCIAL SERVICES REGULATORY AUTHORITY

**Údarás Rialála Seirbhísí Airgeadais Na hÉireann**

Pursuant to Section 9(3)(a) of the Investment Intermediaries Act, 1995, ('the Act') the Irish Financial Services Regulatory Authority hereby advises that:

**Professional Capital  
Management Pte Ltd  
(Singapore)**

**Carlton Marsh Limited  
(Brazil, Panama and Singapore)**

are not authorised to provide investment business services and/or investment advice in Ireland.

Any person with information about the above firms or who wishes to make enquiries about any other investment business firm, whether authorised or not, should contact the Irish Financial Services Regulatory Authority enquiry line at:

**Locall 1890 200 469**

A list of warning notices issued to date under section 9(3)(a) of the Act is available on the following website:

**[www.ifsra.ie](http://www.ifsra.ie)**

5 February 2004



IRISH FINANCIAL SERVICES  
REGULATORY AUTHORITY

Press Release

5 February 2004

**EMBARGO: NOT FOR PUBLICATION BEFORE THURSDAY 5 FEBRUARY 2004**

# Financial Regulator Issues Warning on Two Firms

The Irish Financial Services Regulatory Authority today (Thursday 5 February 2004) publishes a warning notice on two firms; Professional Capital Management Pte Ltd (Singapore) and Carlton Marsh Limited (Brazil, Panama and Singapore). These firms have been offering investment advice and/or investment business services to members of the Irish public. The notice is published today in Irish daily newspapers under the Investment Intermediaries Act, 1995.

It is a criminal offence for an investment firm to operate in Ireland unless it has an authorisation from the Financial Services Regulator and clients of unauthorised firms are not eligible for compensation from the Investor Compensation scheme.

Any person wishing to contact the Financial Services Regulator with information regarding such firms may telephone **1890-200-469**. This line is also available to the public to ascertain if an investment firm is authorised before making an investment with such a firm. Since obtaining the necessary legal powers in August 1998, 57 warnings naming 115 firms have been issued. A list of all warning notices issued to date is available on the website: [www.ifsra.ie](http://www.ifsra.ie)

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*Further information:*

Press Office: (01) 410 4276 / 410 4096