

The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

ESPA FINANCIAL (Clone of previously FSA EEA Authorised firm)

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is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FSA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms operating in the United Kingdom. This list can be found at:

<http://www.fsa.gov.uk/doing/regulated/law/alerts/unauthorised-firms>

This entity is using the details of a previously FSA EEA Authorised firm. The following firm has no association whatsoever with the organisation described above that is identifying itself as ESPA Financial:

- **ESPA-Financial Advisors GmbH**

Habsburgergasse 1a, 1010 Wien, Austria

FSA Firm Registration Number: 228527 (No longer FSA EEA Authorised)

Registered with the Financial Market Authority in Austria as an Investment Service Provider, FMA Firm Number: 240378 y

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.