

Financial Conduct Authority



The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Boiler Room Name: BNY Mellon Wealth Management (**Clone of brand name or trading style of UK FCA Authorised entities**)

Address: 701 Westchester Avenue, White Plains, NY 10604, USA

Telephone: +1-917-210-0611

Website: www.bnymellon.com/wealthmanagement (**using FCA Authorised Firms' website**)

Email: info.bnymellon@usa.com

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

<http://www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-to-avoid>

This entity is cloning the name and address of an FCA authorised firm and several of its several associated genuine firms. These Genuine Firms that fraudsters are claiming to work for have no association with the 'clone firm'. They all operate under the trading style BNY Mellon Wealth Management or BNY Mellon Investment Management and their correct details are:

The Bank of New York Mellon
(FCA Firm Reference Number 122467)
1 Canada Square, London, E14 5AL

BNY Mellon Fund Managers Limited
(FCA Firm Reference Number 122007)
BNY Mellon Centre 160 Queen Victoria Street, London, EC4V 4LA

BNY Mellon Investment Management EMEA Limited
(FCA Firm Reference Number 119196)
BNY Mellon Centre 160 Queen Victoria Street, London, EC4V 4LA

BNY Mellon Trust & Depositary (UK) Limited
(FCA Firm Reference Number 188432)
BNY Mellon Centre 160 Queen Victoria Street, London, EC4V 4LA

BNY Mellon Investment Funds
(FCA Firm Reference Number 188478)
BNY Mellon Centre 160 Queen Victoria Street, London, EC4V 4LA

BNY Mellon Managed Funds I
(FCA Firm Reference Number 477111)
BNY Mellon Centre 160 Queen Victoria Street, London, EC4V 4LA

BNY Mellon Managed Funds II
(FCA Firm Reference Number 460853)
BNY Mellon Centre 160 Queen Victoria Street, London, EC4V 4LA

Standish Mellon Asset Management (UK) Limited
(FCA Firm Reference Number 195693)
BNY Mellon Centre 160 Queen Victoria Street, London, EC4V 4LA

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fca.org.uk, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.



BNY MELLON

BNY Mellon would like to clarify that the company “BNY Mellon Wealth Management” included in the recently released list is not part of BNY Mellon. This unauthorized firm has cloned the name of a legitimate BNY Mellon business, BNY Mellon Wealth Management, that is based in the U.S.A. and is using a reference to the legitimate website of BNY Mellon Wealth Management.

This has been acknowledged by Finanstilsynet (The Financial Supervisory Authority of Norway) and regulators in Ireland and Sweden that a firm, calling itself BNY Mellon Wealth Management has been operating as an investment firm in the absence of an appropriate authorization in order to solicit business from individuals in those countries.

It should be noted that there is no connection whatsoever between the legitimate BNY Mellon Wealth Management business in the U.S.A. (or any other BNY Mellon entity) and the unauthorized BNY Mellon Wealth Management entity that is operating in Norway.