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Mizuho DKB Brokerage

17. May 2018 | [Investor Warning \(https://www.fma.gv.at/en/category/news-en/investor-warning/\)](https://www.fma.gv.at/en/category/news-en/investor-warning/)

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By publication in the official gazette “Amtsblatt zur Wiener Zeitung” of 17 May 2018, the FMA hereby informs, that

Mizuho DKB Brokerage

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is not entitled to provide investment services in Austria that require a licence. The provider is therefore not permitted to provide commercial investment advice in relation to financial instruments (Art. 3 para. 2 no. 1 WAG 2018) as well as portfolio management services by managing portfolios for individual customers with a discretion in the form of power of attorney of the customer, provided that the customer portfolio contains one or more financial instruments pursuant to (Art. 3 para. 2 no. 2 WAG 2018).

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