

The following is a list of unlicensed overseas companies, suspected scam websites and phishing scams which have come to the attention of the SFC.

Most of these unlicensed companies either represent themselves as having operations in Hong Kong by using the address of a mail forwarding facility or settle their transactions through a bank account in Hong Kong, when in fact they do not have any actual operations in Hong Kong. Alternatively, they may operate from overseas and contact potential investors in Hong Kong whilst not having been licensed in Hong Kong. While some of them may be boiler room operations, others may merely have failed to comply with licensing requirements.

Investors should exercise extreme caution when dealing with companies that are not licensed in any jurisdiction.

Suspected scam websites may either be scamsters using their websites to offer too-good-to-be-true investment opportunities (e.g. offering pre-IPO stocks of fabricated companies at huge discounts, or funds with guaranteed multiple returns) which do not actually exist, or fraudulent copycat websites which imitate the websites of reputable financial institutions to lure investors to part with their money and/or disclose personal information which the fraudsters may use to swindle investors.

Similar to fraudulent copycats, phishing is a term used to describe scamsters imitating legitimate financial institutions, notably banks, in emails to entice recipients to disclose their credit card/bank account numbers as well as their respective passwords/ Personal Identification Numbers (PINs).

Recently, it has come to the SFC's attention that boiler room activities, scam websites and phishing have become more active. If you wish to find out more about these scams, please refer to the subsections "[Boiler Room Operations](#)", "[Scam Websites](#)" and "[Fraudulent Copycat Websites and Phishing Scams](#)". You can also read the [investor alert lists of overseas regulators](#) in the "[Boiler Room Operations](#)" subsection.

First Colonial Management

Gives an address of:

17 Ensign House
Admirals Way, London
England, E14 9XQ

Added on 27 April 2005

Orion Ventures Limited

Gives an address of:

3705 Bank of America Tower, Suite 582
12 Harcourt Road, Hong Kong
(The website of the company is www.smithkleinonline.com. The company is related to Smith Klein Venture.)

Added on 27 April 2005

Smith Klein Venture

Gives an address of:

3705 Bank of America Tower, Suite 582

12 Harcourt Road, Hong Kong

(The website of the company is www.smithkleinonline.com. The company is related to Orion Ventures Limited.)

Added on 27 April 2005