

Capacity Restricted Dealing Expression of Interest

Please complete this form and send a scanned copy by e-mail to crd.simluxcsm@schroders.com

Name of Applicant:

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Applicant submits the following Expression of Interest ("EOI") for allocation of capacity in the following fund under the Schroder Investment Management (Luxembourg) S.A. Capacity Restricted Dealing Service:

		▼ indicates mandatory field
Legal name of shareholder in the fund register:		
Account number of shareholder in the fund register and/or agent code used to place the subscription ¹ : ▼	Account:	
	Agent code:	
Name of sub-fund to be subscribed: ▼		
Requested Capacity Allocation (in fund currency): ▼		
Primary Applicant contact person		
Name: ▼		
Telephone number (fixed line): ▼		
Telephone number (mobile):		
Email address: ▼		
Alternate Applicant contact person		
Name: ▼		
Telephone number (fixed line): ▼		
Telephone number (mobile):		
Email address: ▼		
Team email address for Applicant:		
Other information (e.g. order routing information):		

Authorised signature: _____

Name: _____

Date: _____

¹ One EOI form must be submitted for every account or agent code for which capacity is requested.

Capacity Restricted Dealing

Important Terms and Conditions

1. Customer due diligence documents must be complete and up to date before Schroder Investment Management (Luxembourg) S.A. (the "Management Company") will accept an Applicant's expression of interest ("EOI") form.
2. EOI forms must be scanned and sent by e-mail to crd.simluxcsm@schroders.com. No other method of submission will be accepted.
3. EOI forms must be completed correctly to be valid. Only valid forms will be accepted.
4. The Management Company will acknowledge receipt of each EOI form it receives, and say whether it considers the form to be valid. Validly submitted forms will be added to the waiting list in strict order of receipt. A place on the waiting list does not guarantee that an invitation to subscribe will follow.
5. As capacity becomes available, the Management Company will invite Applicants to subscribe. Invitations will be issued to Applicants at the head of the waiting list in strict order of "first come, first served". Invitations will be sent by e-mail to the address(es) given on the Applicant's EOI form.
6. Invitations to subscribe will indicate the dates between which subscriptions may be submitted and the associated dealing cut-off times.
7. Financial institutions who have several accounts or agents must submit an EOI form for each account or agent through which they wish to subscribe.
8. Applicants who wish to subscribe following an invitation must ensure that the total aggregate value of their subscription(s) is the lesser of their Requested Capacity Allocation(s) stated on their EOI form(s) and €50 million². Financial institutions who subscribe through several accounts and agents must ensure that the total aggregate value of subscriptions through those accounts and agents does not exceed €50 million. The Management Company may reject subscriptions that exceed these limits.
9. Applicants who have submitted valid EOI forms will remain on the waiting list until they have been invited to subscribe as and when the Fund has sufficient capacity. Applicants may withdraw their EOI form at any time. Any subsequent EOI form they submit will be treated as a new application and will join the tail of the waiting list.
10. Applicants will be asked to confirm the Requested Capacity Allocation(s) by email within 5 business days from when they are invited to subscribe. This amount may not exceed the original EOI's Requested Capacity Allocation.
11. Applicants who have been invited to subscribe but who fail to subscribe within the dates given in their invitation will have their EOI removed from the waiting list. Any subsequent EOI form they submit will be treated as a new application and will join the tail of the waiting list.
12. When invited to subscribe, Applicants may subscribe into any class of share for which they are eligible. Subscription orders should be submitted and settled in the normal manner.

² €50 million or equivalent at the time of the subscription invitation.