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## Steiner Greves

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10. November 2020 | [Investor Warning \(https://www.fma.gv.at/en/category/news-en/investor-warning/\)](https://www.fma.gv.at/en/category/news-en/investor-warning/)

Pursuant to Article 92 para. 11 first sentence of the Securities Supervision Act of 2018 (WAG 2018, Wertpapieraufsichtsgesetz 2018), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, in the official gazette “Amtsblatt zur Wiener Zeitung”, or in any other newspaper with nationwide circulation, that a particular natural or legal person is not authorised to provide certain investment services (Article 3 para. 2 nos. 1 to 4 WAG 2018), provided that said person has given cause for such action and informing the general public is deemed necessary and reasonable with regard to possible disadvantages of the person concerned.

By publication in the official gazette “Amtsblatt zur Wiener Zeitung” on 10.11.2020, the FMA hereby informs that

### **Steiner Greves**

with its registered address apparently at

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is not entitled to provide investment services in Austria that require a licence. The provider is therefore neither permitted to provide commercial investment advice (Article 3 para. 2 no. 1 WAG 2018) nor to portfolio management (Article 3 para. 2 no. 2 WAG 2018).

« [Announcement: FMA imposes sanction against Zumtobel Group AG for a breach of the Market Abuse Regulation \(MAR, Regulation \(EU\) 596/2014\) \(https://www.fma.gv.at/en/announcement-fma-imposes-sanction-against-zumtobel-group-ag-for-a-breach-of-the-market-abuse-regulation-mar-regulation-eu-596-2014/\)](https://www.fma.gv.at/en/announcement-fma-imposes-sanction-against-zumtobel-group-ag-for-a-breach-of-the-market-abuse-regulation-mar-regulation-eu-596-2014/)

[Portfolio Transfer from Assurant General Insurance Limited and London General Insurance Company Limited to Assurant Europe Insurance N.V. \(https://www.fma.gv.at/en/portfolio-transfer-from-assurant-general-insurance-limited-and-london-general-insurance-company-limited-to-assurant-europe-insurance-n-v-2/\)](https://www.fma.gv.at/en/portfolio-transfer-from-assurant-general-insurance-limited-and-london-general-insurance-company-limited-to-assurant-europe-insurance-n-v-2/) »

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