



The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Charles Schwab (Clone of FCA authorised firm)

3/2, Mörfelder Strasse 113.

D-65451 Kelsterbach

Frankfurt

Germany

Tel: 0203 371 85966

0203 371 8976

Fax: 0208 328 7255

is NOT authorised under the Financial Services and Markets Act 2000 ("FSMA") to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

<http://www.fca.org.uk/firms/being-regulated/enforcement/alerts/unauthorised-firms>

The following FCA authorised firm has no association whatsoever with the organisation discussed above identifying itself as Charles Schwab:

Charles Schwab UK Limited (FCA Registration: 225116, Companies House No: 04709153)

London Investor Centre, 10 King William Street, London, EC4N 7TW

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fca.org.uk, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.

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