

The Alert List is a list of suspected boiler rooms, scam websites, phishes and unlicensed overseas entities which have come to the attention of the SFC. You can either search by name or by type to check whether an entity is already on the List.

In many cases, these entities adopt names which are similar to legitimate financial institutions. This is often done to give investors a false impression about with whom they are dealing. Also, they may claim to be based in a country when they do not actually operate there. Operators often use "virtual offices" to redirect calls and mail to overseas locations.

The List is not exhaustive. New information will be added as they come to the SFC's attention.

Name: Riverton Financial Group

Type of Scam: Boiler Rooms

Address(es): 45/F, One Exchange Square
8 Connaught Place, Central
Hong Kong

Website: www.rivertonfinancialgroup.com

Remarks: The company gives the above address but is not located there.

Add Date: 1 February 2006

Name: Swift Asset Management Ltd.

Type of Scam: Unlicensed Overseas Entities

Address(es): 25/F, Yue Thai Commercial Building
128 Connaught Road Central
Hong Kong

Website: www.samhk.com

Add Date: 1 February 2006

Name: Tri Guard Holdings Limited

Type of Scam: Boiler Rooms

Remarks: The company holds a bank account in Hong Kong in the name of Tri Guard Holdings Limited. Beacon Capital Management also uses this bank account.

Add Date: 1 February 2006

Name: China Union Group Limited

Type of Scam: Boiler Rooms

Address(es): Rooms 701-2, Luk Hoi Tung Building
31 Queen's Road Central
Hong Kong

11 Harbour Road, Hong Kong

Name(s) of Representative(s): Arpakorn Rila

Remarks: The company holds a bank account in Hong Kong in the name of China Union Group Limited. Holdings Universal and Beacon Capital Management also use this bank account.

Add Date: 8 December 2005

Last Update Date: 1 February 2006