

The following is a list of unlicensed overseas companies, suspected scam websites and phishing scams which have come to the attention of the SFC.

Most of these unlicensed companies either represent themselves as having operations in Hong Kong by using the address of a mail forwarding facility or settle their transactions through a bank account in Hong Kong, when in fact they do not have any actual operations in Hong Kong. Alternatively, they may operate from overseas and contact potential investors in Hong Kong whilst not having been licensed in Hong Kong. While some of them may be boiler room operations, others may merely have failed to comply with licensing requirements.

Investors should exercise extreme caution when dealing with companies that are not licensed in any jurisdiction.

Suspected scam websites may either be scamsters using their websites to offer too-good-to-be-true investment opportunities (e.g. offering pre-IPO stocks of fabricated companies at huge discounts, or funds with guaranteed multiple returns) which do not actually exist, or fraudulent copycat websites which imitate the websites of reputable financial institutions to lure investors to part with their money and/or disclose personal information which the fraudsters may use to swindle investors.

Similar to fraudulent copycats, phishing is a term used to describe scamsters imitating legitimate financial institutions, notably banks, in emails to entice recipients to disclose their credit card/bank account numbers as well as their respective passwords/ Personal Identification Numbers (PINs).

Recently, it has come to the SFC's attention that boiler room activities, scam websites and phishing have become more active. If you wish to find out more about these scams, please refer to the subsections "[Boiler Room Operations](#)", "[Scam Websites](#)" and "[Fraudulent Copycat Websites and Phishing Scams](#)". You can also read the [investor alert lists of overseas regulators](#) in the "[Boiler Room Operations](#)" subsection.

Capital Management Group

Gives an address of:

340 South Main Street
New City, NY 10956
USA

(The company maintains a website with the domain name: www.capmangroup.com)

Global Options Group

Gives addresses of:

Head office
55 South Vale #305, Arlington Heights, Illinois 60005, USA

G.O.G. Market Research Office
201 South Biscayne Boulevard, Miami, Florida, 33131, USA

G.O.G. Offshore Marketing Office
Asian Region Hub Office
16/F, Bank of America Tower, 12 Harcourt Road 2 Central, Hong Kong

(It maintains a website with the domain name: www.globaloptions.org)

(Name used: Jason Carlson)

Hantec Group Asset Management Limited

The company is not associated with the SFC licensed corporation, Hantec Asset Management Limited.

Mission Finance Limited

Gives an address of :

Suite 1607, Office Tower
Convention Plaza
1 Harbour Road, Wanchai
Hong Kong

Smith Barney

(Claims to represent Smith Barney and sends emails to certain clients requesting them to go to an attached link to confirm their data, as a planned software upgrade is being carried out.)

Union Bank of China UBHK Securities Company Limited

It maintains a website of <http://unionbankhk.com>.
(Represents it has a head office in Shanghai, overseas branches in New York, Tokyo and Singapore, and representative offices in London and Frankfurt.)

Vantage International Management Inc Vantage International Management Group Inc

Give addresses of:

23rd Floor, 87 M Thai Tower
All Seasons Place, Wireless Road
Patumwan, Bangkok 10330

Thailand

Unit 12-04B, 12th Floor
246 Time Square Building
Sukhumvit Road Klongtoey
Bangkok
Thailand

Room 15-G, 15th Floor
29/1 Unico House Building
Soi Langsuan, Ploenchit Road
Patumwan, Bangkok 10330
Thailand

WeNew.net

The website with the above domain name provides investment advice. It also uses a bank account in Hong Kong in the name of Zhang Dongsheng.

World Trade Assets Market Inc. World Trade Assets Association World Trade Assets Institute

Give an address of :
19/F, One International Finance Centre,
1 Harbour View Street,
Central,
Hong Kong
(These companies maintain a website with the domain name: www.wtami.com)

Yamato & Associates

Gives an address of:

1-1-3 Marunouchi
Chiyoda-ku
Tokyo 100-0005
Japan

Name used: Peter Atkins

(The company maintains a website with the domain name: www.yamatoassociates.com)