



## **CNMV ISSUES WARNING TO THE PUBLIC ON UNREGISTERED FIRM**

In accordance with the provisions of Article 17(2) of the Spanish Securities Market Act (Recast Text approved by Spanish Royal Legislative Decree 4/2015, of 23 October), the Spanish National Securities Market Commission, hereby warns that:

**UNIVERSAL CAPITAL MARKETS LIMITED (CLON)**

**UCM LIMITED**

**[www.ucm-limited.com](http://www.ucm-limited.com)**

*is not related to UNIVERSAL CAPITAL MARKETS LIMITED, which was duly registered with the CNMV as a European Economic Area Investment Firm operating under the Freedom to Provide Services regime in Spain until September 16, 2015.*

**Is not authorised to provide the investment services** detailed in Article 140 of the Spanish Securities Markets Act, which include investment advice, nor to provide the auxiliary services detailed in Article 141(a), (b), (d), (f) and (g) of said Act in relation to the financial instruments detailed in Article 2 of said Act, including, for those purposes, foreign currency transactions.

**For any enquiries, please contact the Spanish National Securities Market Commission enquiry line on 900 535 015 or visit CNMV's website ([www.cnmv.es](http://www.cnmv.es)).**

28 September 2020