



## ***CNMV ISSUES WARNING TO THE PUBLIC ON UNREGISTERED FIRM***

Pursuant to the second paragraph of Article 17 of the Securities Markets Law (recast text approved by Royal Legislative Decree 4/2015, of 23 October), the Comisión Nacional del Mercado de Valores (National Securities Market Commission) warns that:

### **GLOB CAPITAL LIMITED**

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**is not authorised to provide the investment services** detailed in Article 140 of the Securities Markets Law, **which include investment advice**, or to provide the auxiliary services detailed in letters a), b), d), f) and g) of Article 141 of the said Law in relation to the financial instruments detailed in Article 2 of the said Law, including, to those purposes, foreign currency transactions.

**For any enquiries, please contact the Comisión Nacional del Mercado de Valores enquiry line on 900 535 015 or visit the CNMV's web site ([www.cnmv.es](http://www.cnmv.es)).**

27 November 2017.