

## INVESTOR WARNING

*(Vienna, 29 January 2011)*

Pursuant to Article 92 para. 11 first sentence of the 2007 *Wertpapieraufsichtsgesetz* (WAG 2007; Securities Supervision Act), the Austrian Financial Market Authority (FMA) may disclose to the general public by publication on the Internet, in the official gazette “*Amtsblatt zur Wiener Zeitung*”, or in any other newspaper with nationwide circulation, that a particular natural or legal person is not entitled to carry out certain investment services (Article 3 para. 2 nos. 1 to 4 WAG 2007), provided that said person has given cause for such action and informing the general public is deemed necessary and reasonable with regard to possible disadvantages of the person concerned.

By publication in the official gazette “*Amtsblatt zur Wiener Zeitung*” of 29 January 2011, the FMA informs that

**Empresa Minera (Bergbau) AG**

Trade register: CH 170.3.020.505.9

Baarerstraße 79

6300 Zug

Switzerland

[www.empresa-minera.ch](http://www.empresa-minera.ch)

Phone: (+41) 41 710 3219

Fax: (+41) 41 710 3261

is not entitled to provide investment services in Austria requiring a licence. The provider is therefore not entitled to provide investment advice in relation to financial instruments pursuant to Article 3 para. 2 no. 1 WAG 2007.