

The Financial Conduct Authority ("FCA") of the United Kingdom would like to alert all recipients that:

Goldberg Capital Group Ltd

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is not authorised under the Financial Services and Markets Act 2000 (FSMA) to carry on a regulated activity in the UK. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ('investments' include futures, options, bonds, stocks and shares).

This entity is using the names of a number of FCA Approved Persons who are registered at various FCA authorised firms and who have **no association whatsoever** with the organisation described above that is identifying itself as Goldberg Capital Group.

The FCA believes that this organisation may be targeting UK customers. Therefore, we have added this firm to our list of unauthorised firms and individuals list. This list can be found at:

www.fca.org.uk/consumers/protect-yourself/unauthorised-firms/unauthorised-firms-to-avoid

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fca.org.uk, or alternatively by telephone on 0800 111 6768. Overseas callers can contact us on (+44) 20 7066 1000.