

The **Financial Services Authority** (“FSA”) of the United Kingdom would like to alert all recipients that:

WINTERTHUR FUND MANAGEMENT

(Clone of a cancelled firm and FSA Authorised firms)

Website: <http://winterthurfundmanagement.com>

Email: admin@winterthurfundmanagement.com
london@winterthurfundmanagement.com
luxembourg@winterthurfundmanagement.com
hongkong@winterthurfundmanagement.com
frankfurt@winterthurfundmanagement.com

is **NOT** authorised under the Financial Services and Markets Act 2000 (“FSMA”) to carry on a regulated activity in the United Kingdom. Regulated activities include, amongst other things, advising on investments and dealing and arranging deals in investments ("investments" include stocks and shares).

The organisation named above is using the name and address, and quoting the FSA Firm Registration Number of a cancelled firm. Firm Winterthur Fund Management Company (Luxemburg) S.A. ceased trading as part of the Winterthur Group of companies as of October 1990. The Winterthur Group of companies is now part of AXA SA.

The AXA Group of companies and specifically the following entities have no association whatsoever with the organisation identifying itself as ‘Winterthur Fund Management’:

- **Winterthur Trustee Services Ltd**
FSA Firm Registration Number: 465696
FSA Firm Registration Status: authorised

- **Winterthur Life UK Limited**

FSA Firm Registration Number: 182007

FSA Firm Registration Status: authorised

N.B. following the sale of this firm by AXA to the Friends Life group, which is effective from 30 November 2011, Winterthur Life UK Limited will in due course be undergoing a name change

The FSA believes that the organisation identifying itself as '**Winterthur Fund Management**' may be targeting UK customers. Therefore, we have added this firm to our lists of unauthorised firms. These lists can be found at:

<http://www.fsa.gov.uk/pages/Doing/Regulated/Law/Alerts/overseas.shtml>

<http://www.fsa.gov.uk/pages/Doing/Regulated/Law/Alerts/unauthorised.shtml>

If you have any further questions on this matter please email our Consumer Contact Centre at consumer.queries@fsa.gov.uk, or alternatively by telephone on 0845 606 1234. Overseas callers can contact us on (+44) 20 7066 1000.