



FINANCIAL SERVICES
AND MARKETS
AUTHORITY

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BROCK HOLDINGS (HTTPS://BROCKHOLDINGS.COM/)

WARNINGS | 02/02/2021

The Financial Services and Markets Authority (FSMA) warns the public against the activities of Brock Holdings, a company that offers investment services.

Brock Holdings is **not** an authorized investment firm in Belgium. It is therefore not allowed to provide investment services in or from Belgium.

Moreover, according to the information available to the FSMA, the activities proposed could be of a fraudulent nature, i.e. **boiler room fraud**. More information on this form of fraud is available in the **general warning** (</en/warnings/boiler-rooms-fsma-warns-public-against-unauthorized-activities>) published by the FSMA on 2 February 2021.

The FSMA therefore **strongly advises against responding to any offer of financial services** made by Brock Holdings and **against transferring** money to any account number it might mention.

Brock Holdings uses the following contact details:

Website: <https://brockholdings.com/>

Address: Hong Kong (Headquarters), Delite Court, 4 Cornwall Street, Kowloon Tong, Kowloon

Taiwan, No. 36, Section 3, Roosevelt Rd, Zhongzheng District, Taipei

China, POS Plaza, 480 Pudian Road, Pudong Xinqu, Shanghai

Japan, 37-6, Nishi-Shinbashi 2-Chome, Minato-Ku, Tokyo

Email: clients@brockholdings.com, jack.carlton@brockholdings.com

Tel.: +852 6570 3706

Brock Holdings has also been the subject of a warning by the supervisory authority of **Sweden** (<https://www.fi.se/en/varningslistan/2020/brock-holdings/>) and **Hong Kong** (<https://www.sfc.hk/en/alert-list/2594>).

Would you like to enquire more generally as to whether a transaction being proposed complies with the financial legislation? Please use the **search function** (</en>) on the FSMA website. You can also contact the FSMA directly via the **consumer contact form** (</en/consumer-contact-form>).

