



## WARNING FROM CNMV ON UNREGISTERED INSTITUTIONS

28 September 2020

The National Securities Market Commission (CNMV) has issued warnings on the following entities:

Entity warned	Remarks
ALANTRAGLOBAL (CLON) alantraglobal.com/	No guarda relación con ALANTRA CAPITAL MARKETS, SV, S.A. (www.alantra.com), debidamente registrada en España como Sociedad de Valores con el nº 258.
UNIVERSAL CAPITAL MARKETS LIMITED (CLON) UCM LIMITED ucm-limited.com	No guarda relación con UNIVERSAL CAPITAL MARKETS LIMITED, entidad que estuvo debidamente registrada en España como Empresa de Servicios de Inversión que operaba en el Espacio Económico Europeo en régimen de Libre Prestación de Servicios hasta el 16 de septiembre de 2015.
QUADRIGA ASSET MANAGERS (CLON) quadrigaassetmanagers.com	No confundir con QUADRIGA ASSET MANAGERS, SGIIC, S.A. (www.quadrigafunds.es), debidamente registrada en España como Sociedad Gestora de Instituciones de Inversión Colectiva con el nº 242.
auramarkets.com/metodo-espanol/ MARKETSCFD SWISSGEMS LTD MARKETSCFD.NET/	

According to CNMV records, these institutions are not registered in the corresponding registry of this Commission and, therefore, are not authorized to provide investment services.

CNMV warnings on unauthorized entities can be consulted at the following webpage: [Warnings from the CNMV](#). To verify whether an institution is registered, investors should contact the investor service on **900 535 015**.

To inform about the investment service offers of unregistered institutions, contact CNMV through the enquiry [form](#) or the [infringements communication channel \(whistleblowing\)](#).

In addition, there is a [search engine](#) available at the CNMV website.

For further information:

[CNMV](#) Communications Department

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