



## WARNING TO THE PUBLIC ON UNREGISTERED INSTITUTIONS IN INTERNATIONAL SUPERVISORS

30 April 2019

The National Securities Market Commission (CNMV) publishes the following list of warnings reported on unregistered entities that were received through international supervisors:

Entity Warned	Supervisor
WALTON SECURITIES LLC waltonsecuritiesllc.com	FCA - REINO UNIDO
DAWSON ROBERTS ADVISORS LLC dawsonrobertsadvisors.com	FCA - REINO UNIDO
ALTIUM PATRIMOINE (CLON) altium-patrimoine.com	FCA - REINO UNIDO
oliverantonlintner.com	FMA - AUSTRIA
FREMONT CAPITAL capfremont.com	FMA - AUSTRIA
brucknerf.com	FMA - AUSTRIA
schwarzgehard.com	FMA - AUSTRIA
ALGOTECHS algotechs.com	FMA - AUSTRIA
BITCOINCODE thebitcoinscode.com	FMA - AUSTRIA
GO T LIMITED (GOTECHFX) gotechfx.com/de	FMA - AUSTRIA
FIVE WINDS ASSET MANAGEMENT fivewindsam.com	FMA - AUSTRIA

For more details on the warnings received from foreign regulators at the European level, please visit the CNMV website to view any existing warnings on [unauthorized entities](#) and [other warnings](#).

In addition, there is a [search engine](#) available at the CNMV website.

To view additional warnings from non-European regulators, click on the [Investor Alerts](#) link at the IOSCO website.

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