



## WARNING TO THE PUBLIC ON UNREGISTERED INSTITUTIONS IN INTERNATIONAL SUPERVISORS

7 November 2018

The National Securities Market Commission (CNMV) publishes the following list of warnings reported on unregistered entities that were received through international supervisors:

Entity Warned	Supervisor	Observations
MARKETS CUBE marketscube.com	FCA - REINO UNIDO	
COINBROKERZ coinbrokerz.com	FCA - REINO UNIDO	
CANTOR FITZGERALD BANK (CLON)	FCA - REINO UNIDO	
META INVESTING metainvesting.com	FCA - REINO UNIDO	
TRADE CAPITAL INVESTMENTS LLC (OPERANDO COMO TRADE CAPITAL) tradecapital.com	FCA - REINO UNIDO	
STARWOOD ASSET MANAGEMENT FUND (CLON) starwoodamf.com	FCA - REINO UNIDO	
TRSYSTEM (OPERANDO COMO CFDS100) cfd100.com	FCA - REINO UNIDO	
PRIME BY ADS SECURITIES (CLON) hbblmw.com	FCA - REINO UNIDO	
RED ARC GLOBAL INVESTMENTS PLC (CLON) redarcglobal.com	FCA - REINO UNIDO	
PADMAR INVESTMENTS LIMITED (CLON) padmarinvestment.com	FCA - REINO UNIDO	
REG INVESTMENT BANK reginvestment.com	FCA - REINO UNIDO	
FIN-TECHPRIME fin-techprime.com	FCA - REINO UNIDO	
AARON BRETT aaronbrett.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).
DAIJU COMMODITY MARKETS daiju-cm.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).
FRASER HAMMOND fraserhammond.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).
HANA SHIZOKUA TRADING hstmanagement.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).
NANTO SAPPORO BROKERAGE nsbrokerage.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).

REED CAVENDISH WEALTH MANAGEMENT reedcavendish.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).
ROYSTON CARR ASSET MANAGEMENT roystoncarr.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).
RWM HOLDINGS rwmholdings.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).
SAGA NAGOYA SECURITIES snscredit.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).
TSB WEALTH paul-smith- 94n4.squarespace.com tsb-wealth.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).
WAINWRIGHT MARKS MANAGEMENT wainwrightmarks.com	FSMA - BELGICA	Advertencia contra entidades no autorizadas que ofrecen servicios de inversión (Boiler Rooms).
LEDGER CAPITAL MANAGEMENT ledgercaptmgt.com	FMA - AUSTRIA	
CAPITAL TECH LTD. pbnttrade.com pbninvest.com	FMA - AUSTRIA	
MANOCO.IO	FMA - AUSTRIA	
MARKETS PROFIT marketsprofit.com	FMA - AUSTRIA	
SOLOMON & KENDRICK solomonandkendrick.com	FMA - AUSTRIA	
capitalncl.com	AMF - FRANCIA	

For more details on the warnings received from foreign regulators at the European level, please visit the CNMV website to view any existing warnings on [unauthorized entities](#) and [other warnings](#).

In addition, there is a [search engine](#) available at the CNMV website.

To view additional warnings from non-European regulators, click on the [Investor Alerts](#) link at the IOSCO website.

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