



## WARNING TO THE PUBLIC ON UNREGISTERED INSTITUTIONS IN INTERNATIONAL SUPERVISORS

Madrid, 24 January 2018

The National Securities Market Commission (CNMV) publishes the following list of warnings reported on unregistered entities that were received through international supervisors:

| Entity Warned   | Supervisor           |
|---|----------------------|
| EJS GESRION D'ACTIFS / EJS PLACEMENTS FINANCIERS (CLON)<br>HTTP://EJSONLINE.COM         | FCA – UNITED KINGDOM |
| ROBERT BROOKS LTD (CLON)<br>HTTP://ROBERTBROOKSLTD.COM                                  | FCA – UNITED KINGDOM |
| BEMBRIDGE ASSURANCE<br>WWW.BEMBRIDGEASSURANCE.COM                                       | FCA – UNITED KINGDOM |
| MARKETS TRADING LTD<br>WWW.MARKETS-TRADING.COM  | FCA – UNITED KINGDOM |
| TRADERVC<br>HTTPS://TRADERVC.COM  | FCA – UNITED KINGDOM |
| 53 CAPITAL TRADE<br>WWW.53CAPITALTRADE.COM  | FCA – UNITED KINGDOM |
| ALGOR INVEST S.A.   | CSSF - LUXEMBOURG    |
| ATB HOLDINGS<br>WWW.ATBHOLDINGS.COM   | DFSA - DENMARK       |
| TORONTO SUMITOMO TRADING INTERNATIONAL (TST INTERNATIONAL)<br>WWW.TSTINTERNA-TIONAL.COM | DFSA - DENMARK       |
| SHINHAN DAIWA BROKERAGE<br>HTTPS://SDBHOLDINGS.COM                                      | AFM - NETHERLANDS    |

For more details on the warnings received from foreign regulators at the European level, please visit the CNMV website to view any existing warnings on [unauthorized entities](#) and [other warnings](#).

In addition, there is a [search engine](#) available at the CNMV website.

To view additional warnings from non-European regulators, click on the [Investor Alerts](#) link at the IOSCO website.

For further information:  
CNMV Communications Department  
Tel: (+34) 91 585 15 30  
[comunicacion@cnmv.es](mailto:comunicacion@cnmv.es)  
[www.cnmv.es](http://www.cnmv.es)

